

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14167

In Re Application Of: **Hiroaki Matsuyama, et al.**

Serial No.

09/741,330

SEP 03 2002

Filing Date

December 20, 2000

Examiner

Unassigned

Group Art Unit

2871

Title:

**ORIENTATION DIVISION TYPE LIQUID CRYSTAL DISPLAY, FABRICATION METHOD
THEREOF AND IMAGE DISPLAY METHOD**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14167

In Re Application: **Hiroaki Matsuyama, et al.**

Serial No.
09/741,330

Filing Date
December 20, 2000

Examiner
Unassigned

Group Art Unit
2871

**ORIENTATION DIVISION TYPE LIQUID CRYSTAL DISPLAY, FABRICATION METHOD
THEREOF AND IMAGE DISPLAY METHOD**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **1013/SSMP** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited
August 28, 2002 with the U.S. Postal Service
as first class mail under 37 C.F.R. 1.8 and is
addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231.

Signature of Person Mailing Correspondence
Michelle Mustafa

Typed or Printed Name of Person Mailing Certificate

***This certificate may only be used if paying by deposit account.**

Dated: **August 28, 2002**

Signature

Paul J. Esatto, Jr.
Registration No. 30,749
SCULLY, SCOTT, MURPHY & PRESSER
400 Garden City Plaza
Garden City, NY 11530
(516) 742-4343

PJE:dg

cc:



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Hiroaki Matsuyama, et al. **Examiner:** Unassigned
Serial No.: 09/741,330 **Art Unit:** 2871
Filed: December 20, 2000 **Docket:** 14167
For: ORIENTATION DIVISION TYPE **Dated:** August 28, 2002
LIQUID CRYSTAL DISPLAY, FABRICATION
METHOD THEREOF AND
IMAGE DISPLAY METHOD

RECEIVED
SEP - 4 2002
TC 2800 MAIL ROOM

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Patent Application No. H7-311383, dated November 28, 1995;
2. Japanese Laid-Open Patent Application No. H8-29790, dated February 2, 1996;
3. Japanese Laid-Open Patent Application No. H10-48631, dated February 20, 1998; and
4. Japanese Laid-Open Patent Application No. H11-95220, dated April 9, 1999.

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on August 28, 2002.

Dated: August 28, 2002


Michelle Mustafa

The references are cited in an Official Action dated July 23, 2002 received from the Japanese Patent Office. Applicants are submitting copies of the above-cited references, together with a translation of the Examiner's comments regarding the references from the Official Action. The relevance of the references are described in the Official Action.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 37 C.F.R. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references are cited. The relevance to the pending U.S. patent application is that the references are cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.
Registration No. 30,749

Scully, Scott, Murphy & Presser
400 Garden City Plaza
Garden City, New York 11530
(516) 742-4343

PJE:dg